

PATENT  
Docket No. 146712001400

## CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on February 14, 2001.

  
N. DeRiggi

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Samuel D. HARKNESS, IV

Serial No.: NEW

Filing Date: February 14, 2001

For: POST-DEPOSITION ANNEALED  
RECORDING MEDIA AND METHOD  
OF MANUFACTURING THE SAME

Examiner: not assigned

Group Art Unit: not assigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

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- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \* is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

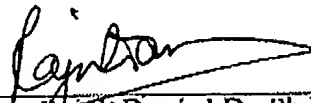
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 146712001400. However, the Commissioner is not

authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 14, 2001

Respectfully submitted,

By:   
Raj S. Davé, J.D., Ph.D.  
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PTO/SB/08 (2-92)

Sheet 1 of 1

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number 146712001400	Application Number NEW
	Applicant  Samuel D. HARKNESS, IV	
	Filing Date February 14, 2001	Group Art Unit Not assigned
	Mailing Date February 14, 2001	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/1998	5,843,569	Kaitzu et al.			
	2.	12/1998	5,851,852	Ostop et al.			
	3.	11/1999	5,985,404	Yano et al.			
	4.	11/1999	5,989,728	Coffey et al.			
	5.	1/2000	6,013,161	Chen et al.			
	6.	9/2000	6,117,282	Kuo et al.			
	7.	10/2000	6,127,017	Hirata et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	8.	Harkness, IV et al., "Recording performance enhancement via <i>in-situ</i> annealing of multilayer media structures", presented at 8th Joint MMM-Intermag Conference, January 11, 2001.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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